

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Applicant(s): Shaffer, II, et al.
Serial No.: 09/933,535
Filed: August 20, 2001
For: ORGANOSILICATE RESIN MASKS FOR ORGANIC
POLYMER DIELECTRICS IN FABRICATION OF MICROELECTRONIC
DEVICES



Group Art Unit: 2811
Examiner: Unknown

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TECHNOLOGY CENTER 2800

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING
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June 19, 2002

DATE OF DEPOSIT

Joslyn M. Damore

PRINT OR TYPE NAME OF PERSON SIGNING CERTIFICATE

Joslyn M. Damore

SIGNATURE OF PERSON SIGNING CERTIFICATE

June 19, 2002

DATE OF SIGNATURE

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 202301

Sir:

Pursuant to Applicant's duty of disclosure under 37 CFR §1.56, the § 1.97,
Examiner's attention is directed to the information identified in the attached Form
PTO 1449. Applicant has enclosed a copy of each reference on this Form.

Applicant requests that these references be reviewed, considered, and made of
record. Applicant further requests that the Examiner initial the enclosed Form PTO-
1449 indicating the Examiner has reviewed the references and return a copy of the
initial Form to Applicant.

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Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months before the filing date of this Statement. (A copy of the Search Report is enclosed).

This Statement is being submitted before the mailing date of the first Office Action on the merits. Under 37 C.F.R. §1.97(b)(3), submission of this Statement requires no fee. If this is incorrect, please charge any fees required to Deposit Account No. 04-1512.

Respectfully submitted,

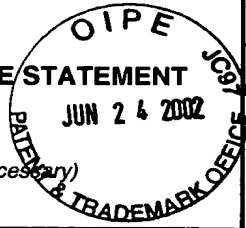


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INFORMATION DISCLOSURE STATEMENT
(Use Several Sheets if necessary)


ATTY DOCKET NO.

60393B

SERIAL NO.

09/933,535

APPLICANT

Shaffer, II, et al.

FILING DATE

August 20, 2001

GROUP

2811

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	4,043,953	08/23/1977	Chang, et al.	260	18	

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FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
42 18 495 A1	12/10/1992	Germany (English Abstract)	H 01 L	23/522	
WO 00/18847	04/06/2000	PCT (English Abstract)	C 09 D	183/04	

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OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

Annex Form PCT/ISA/206
COMMUNICATION RELATING TO THE RESULTS
OF THE PARTIAL INTERNATIONAL SEARCH

International Application No
PCT/US 01/25977

1. The present communication is an Annex to the invitation to pay additional fees (Form PCT/ISA/206). It shows the results of the international search established on the parts of the international application which relate to the invention first mentioned in claims Nos. **1-6, 25, 26**
2. This communication is not the international search report which will be established according to Article 18 and Rule 43.
3. If the applicant does not pay any additional search fees, the information appearing in this communication will be considered as the result of the international search and will be included as such in the international search report.
4. If the applicant pays additional fees, the international search report will contain both the information appearing in this communication and the results of the international search on other parts of the international application for which such fees will have been paid.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	DE 42 18 495 A (MITSUBISHI ELECTRIC CORP) 10 December 1992 (1992-12-10) page 7, line 50-55 ----	1-3
A	US 4 043 953 A (CHANG WEN-HSUAN ET AL) 23 August 1977 (1977-08-23) the whole document ----	1-3
A	WO 00 18847 A (CATALYSTS & CHEM IND CO ; EGAMI MIKI (JP); KOMATSU MICHIO (JP); NAK) 6 April 2000 (2000-04-06) -----	1-3
P, A	& EP 1 035 183 A (CATALYSTS & CHEMICALS INDUSTRIES CO.) 13 September 2000 (2000-09-13) abstract -----	1-3

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

Information on patent family members

PCT/US 01/25977

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
DE 4218495	A	10-12-1992	JP	5074963 A		26-03-1993
			DE	4218495 A1		10-12-1992
US 4043953	A	23-08-1977	NONE			
WO 0018847	A	06-04-2000	EP	1035183 A1		13-09-2000
			WO	0018847 A1		06-04-2000